UNITE	D STATES SENATE F	INAN	CIAL DISCLOSURE	REPORT		
Last Name	FOR ANNUAL AND	TERN	MINATION REPORTS			
Last Name	First Name and Middle Initial		Annual Report	Senate Office / Agency in Which Er	nployed	
Harkin	Thomas R.		Calendar Year Covered by Report: 2007	United States Senate		
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Ar	rea Code)	Termination Report	Prior Office / Agency in Which Emp		
Senate Hart #731 Washington, DC 20510	202-224-3254		Termination Date (mm/dd/yy):	The once Agency in Which Emp	orayed	
AFTER READING THE INSTRUC	TIONS - ANSWER EACH	OF T	HESE QUESTIONS AN	ID ATTACH THE PE	LEVANT DAD	
	YES N	0				<u> </u>
Did any individual or organization make a donation to paying you for a speech, appearance, or article in the if Yes, Complete and Attach PART I.	charity in lieu of reporting period?	Did reim from	you, your spouse, or dependent conductive spoursements for travel in the report one source)? es, Complete and Attach PART VI.	ting period (i.e., worth more the	vel or	X
Did you or your spouse have earned income (e.g., sal investment income of more than \$200 from any report reporting period? If Yes, Complete and Attach PART II.	able source in the	Did than	you, your spouse, or dependent of \$10,000) during the reporting per es, Complete and Attach PART VII	hild have any reportable liabili		K
Did you, your spouse, or dependent child hold any reproduce than \$1,000 at the end of the period, or receive investment income of more than \$200 in the reporting If Yes, Complete & Attach PART IIIA and/or IIIB.	nearned or period?	Curre	you hold any reportable positions ent calendar year? es, Complete and Attach PART VII	the second control of		K
Did you, your spouse, or dependent child purchase, so reportable asset worth more than \$1,000 in the report if Yes, Complete and Attach PART IV.	ng period?	enac	ou have any reportable agreemer y? ss, Complete and Attach PART IX.			c
Did you, your spouse, or dependent child receive any reporting period (i.e., aggregating more than \$335 and exempt)? If Yes, Complete and Attach PART V.	not otherwise	If thi \$5,0 If Ye	s is your FIRST Report: Did you r 00 from a single source in the two s, Complete and Attach PART X.	eceive compensation of more prior years?		
Each question must be	e answered and the app	oropria	ate PART attached for	asch "VES"		\dashv
Senate, Washington, DC 20510. \$200 Per This Financial Disclosure Statement is required to by the Office of the Secretary of the Senate to ar	the Secretary of the Senate alty for filing more than 30 cy the Ethics in Government Act of the Secretary requesting person upon written.	days af	of Public Records, Room ter due date. as amended. The statement w	232, Hart Senate Office	e Building, U.S.	
criminal sanctions. (See 5 U.S.C. app. 6, 104, an	any raisines, or with kilowilldia St	nd willful	ly fails to file this report may be	subject to civil and	Not Write Below this Li	ne
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Signature of Reporting Individual		5/1	lonth, Day, Year)		
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.	or Official Use Only - Do Not Write Bel Signature of Reviewing Official	low This L		onth, Day, Year)		

Reporting	Individual	's	Name
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PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC Example	Salary Examp	
	MCI (Spouse)	Arlington, VA Example	Salary Example	
1 Cono	coPhillips (Spouse)	Houston, TX	Salary (includes	Over \$1,000
2			contractual right to	
3			receive stock in	
4			the future)	
5 Unite	d Technologies Corp. (Spouse)	Washington, DC	Salary (includes	Over \$1,000
6			contractual right to	
7	,		purchase stock in	
8			the future)	
9 Abitib	iBowater, Inc. (Spouse)	Montreal, Canada	Salary (includes	Over \$1,000
0			contractual right to	
1			receive stock in	
2			the future)	
3				
4				-

Reporting	Individ	lual's	Name
Thoma	s R.	На	rkin

Example: DC.

1 J

2

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6

7 IJ

8 j

9

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PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page	Number

BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, dependent child, (See p.3, CONTENTS REPORTS Part B of Instructions) for production of income or investment which

- (1) had a value exceeding \$1,000 at t close of the reporting period; and/or
- (2) generated over \$200 in "unearned income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutua publicly traded partnership interest, exce investment funds, bank accounts, excer and qualified blind trusts, and publicly tr assets of a retirement plan.

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001. Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

1886	et held by you, your spouse, or your	I			·	neck	uic		Join					╓		Τv	ре	of I	ncc	me		Г				Am	<u> </u>	nt c	fin	^^!	~~		
on d a neron me on trace ent lifie	child, (See p.3, CONTENTS OF Part B of Instructions) for of income or investment which: value exceeding \$1,000 at the f the reporting period; and/or ated over \$200 in "unearned" during the reporting period. this PART IIIA a complete n of each public bond, mutual fund, ded partnership interest, excepted funds, bank accounts, excepted d blind trusts, and publicly traded retirement plan.	None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent		Capital Gains	tment Fund		Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500				\$50,001 - \$100,000	\$100,001 - \$1,000,000		0,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
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Reporting	Individual	's Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Type of Income

Page Number

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Amount of Income

sp	ouse,	or your dependent child (See p.3,	L	_	1	_	F -	,	,		, ,		_		<u> </u>		ı y	pe	OI II	ICO	me						ΑП	loui	11 0	T In	con	ne		
In in wi	structivestmonthich: (1) have close (2) geoinco clude to set, was incesset, was incesset.	NTS OF REPORTS Part B of cons) for the production of income or cent in a non-public trade or business and a value exceeding \$1,000 at the e of the reporting period; and/or nerated over \$200 in "unearned" me during the reporting period. The above report for each underlying hich is not incidental to the trade or so. Publicly traded assets held by non-nitity may be listed on Part IIIA.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$1 5,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
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L		or J Undeveloped land, Dubuque, Iowa					X															Example	X		4									Example
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

5

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by your your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

		on) of each interest held by you, your or your dependent child (See p.3,						,									Ту	ре	of I	nco	me					ne								
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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

7

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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Reporting	Individual's	Name

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

8

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

9

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001. Check the first column.

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

10

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3. CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by nonpublic entity may be listed on Part IIIA.

BLOCK B **Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001. Check the first column

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

11

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column

BLOCK C Type and Amount of Income

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

12

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

13

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by your your

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column.

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PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

14

BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and

BLOCK B Valuation of Assets

At the close of reporting period. If None, or less than \$1,001, Check the first column

BLOCK C Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

			•			CI	neck	the:	first d	colur	nn.				<u> </u>																			
s	oouse,	on) of each interest held by you, your or your dependent child (See p.3,															Ту	ре	of I	nco	me	١.					Am	ou	nt o	f In	con	ne		
In w In as bu	struction vestmenthich: (1) had close (2) ger incontectude to sset, what usiness	NTS OF REPORTS Part B of ons) for the production of income or ent in a non-public trade or business. If a value exceeding \$1,000 at the er of the reporting period; and/or perated over \$200 in "unearned" me during the reporting period, the above report for each underlying pich is not incidental to the trade or . Publicly traded assets held by non-tity may be listed on Part IIIA.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001-\$1,600,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
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		or J Undeveloped land, Dubuque, Iowa					X		o de la companie												in process	Example	X								e E		i A	Example
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This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting	Individ	ual's	s Name
Tho	mas	R.	Harkin

PART IV. TRANSACTIONS

Page Number

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C	:hild (১	See p.3	urchase, sale, or exchange by you, your spouse, or dependent 3 CONTENTS OF REPORTS Part B of Instructions) during the	•	ansac Type													
o ir ir b	ther s nclude nvolvii etwee	securiti e trans ng prop en you,	iod of any real property, stocks, bonds, commodity futures, and es when the amount of the transaction exceeded \$1,000. actions that resulted in a loss. Do not report a transaction perty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two e involved in any reportable exchange.	Purchase	9	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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4	s	Conoc	co Phillips	X			3/8/07					X	100				2000	
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Reporting	Individual's	Name
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PART IV. TRANSACTIONS

Page Number

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Reporting	Individual's	Name
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PART IV. TRANSACTIONS

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of In in	ther so clude volvin etwee	ecurities transa g prope n you, y	od of any real property, stocks, bonds, commodity futures, is when the amount of the transaction exceeded \$1,000. It ctions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	on	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100 ,000	\$100,001 - \$250,000	\$250,001 - \$500 ,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	O
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	S	Harbor	Cap Appreciation Fund	×		<u>.</u>	12/14/07				X							
:	s	Marsic	co Investment Growth Fund	×			12/14/07				X							
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	s	LPL P	remier Money Mkt Shares Port.	X	X		12/5, 12/11/07				X					n P		
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	S	Arlingto	on Cnty VA Public Improvement B/E	X			3/13/07				×							
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PART IV. TRANSACTIONS

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o Ir ir b	ther s nclude nvolvir etwee	ecuritie transa ng prop en you,	od of any real property, stocks, bonds, commodity futures, and es when the amount of the transaction exceeded \$1,000. actions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
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2	s	Fairfa	x Cty VA Econ. Dev. Rev. Rte. 28 PJ	×		Bale San	3/13/07				×						200	
3	s	Fairfax	Cty VA Water Auth. Rev. Rfdg. 4%	X			3/13/07			型	X						100 mm	
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5	s	Hanov	ver Cty VA Ref PI CPN	×			3/13/07	NEWS TO			×		Chapter of the second		and the second			on the
6	s	Henrico	o Cty VA Public Improvement OID	K			313/07				×						25 X	
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PART IV. TRANSACTIONS

Page Number

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child	port any purchase, sale, or exchange by you, your spouse, or depend (See p.3 CONTENTS OF REPORTS Part B of Instructions) during to orting period of any real property, stocks, bonds, commodity futures, a ser securities when the amount of the transaction exceeded \$1,000. Under transactions that resulted in a loss. Do not report a transaction olving property used solely as your personal residence, or a transaction ween you, your spouse, or dependent child. Please clarify which two perties are involved in any reportable exchange.		he T	insac ype (S.							
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